

# A Control-Oriented Model for Combined Building Climate Comfort and ATES System

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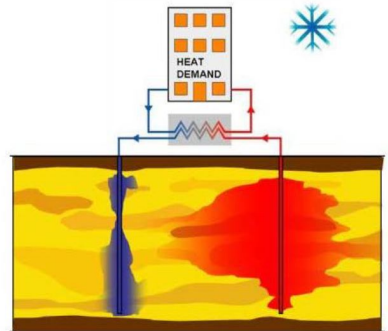


# Aquifer Thermal Energy Storage (ATES)

- A large-scale natural subsurface storage for thermal energy
- An innovative method for thermal energy balance in smart grids

## Cold season:

- The building requests thermal energy for the heating purpose
- Water is injected into **cold well** and is taken from **warm well**
- The stored water contains **cold** thermal energy for next season

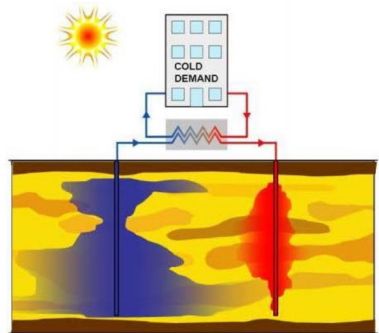


# Aquifer Thermal Energy Storage (ATES)

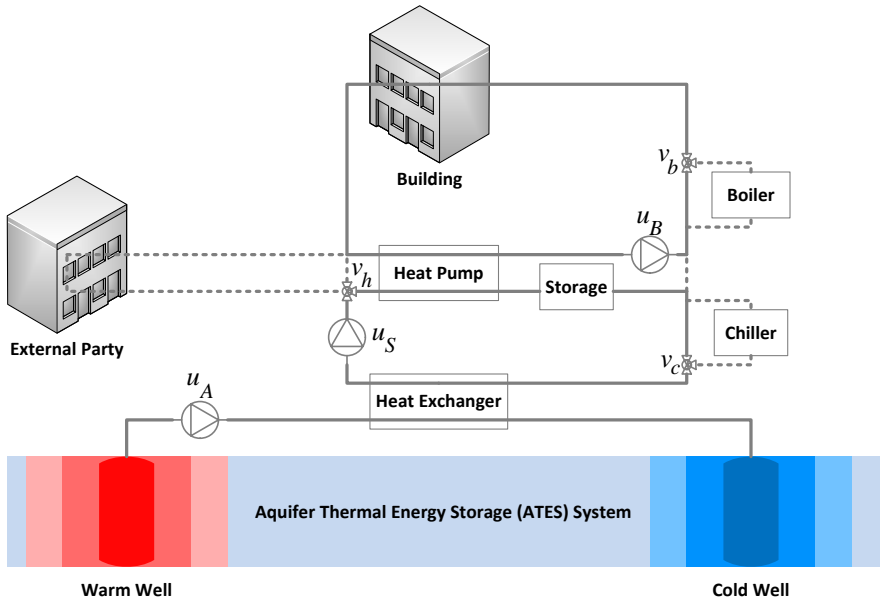
- A large-scale natural subsurface storage for thermal energy
- An innovative method for thermal energy balance in smart grids

## Warm season:

- The building requests thermal energy for the cooling purpose
- Water is injected into **warm well** and is taken from **cold well**
- The stored water contains **warm** thermal energy for next season



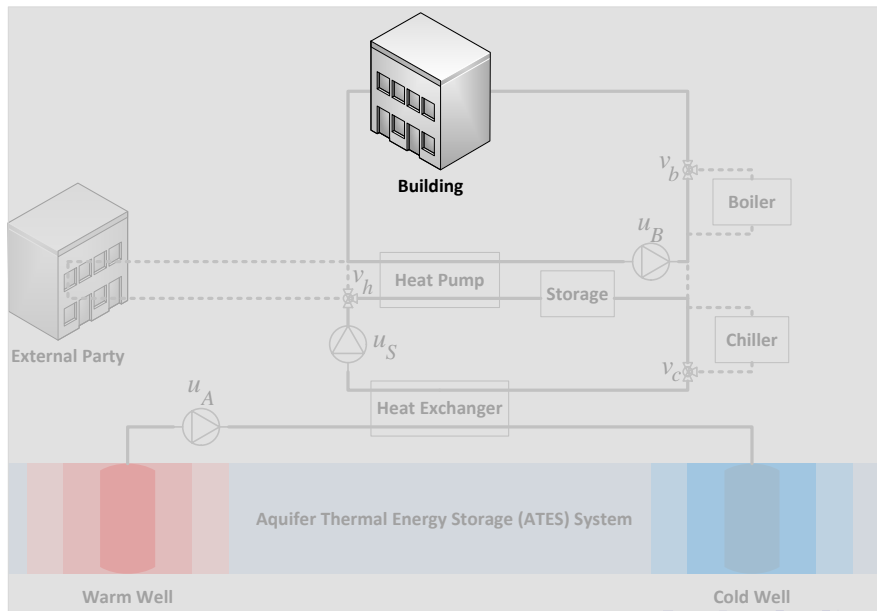
# Building Climate Comfort with ATES System (Agent)



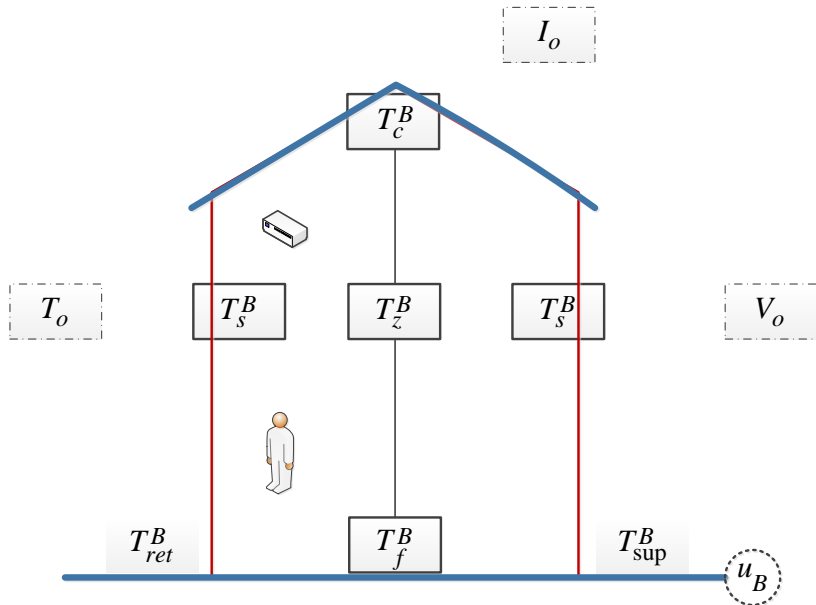
# Outline

- ① Single Agent Model
- ② Control Problem Formulation
- ③ Simulation Study and Estimation
- ④ Ongoing Work and Results

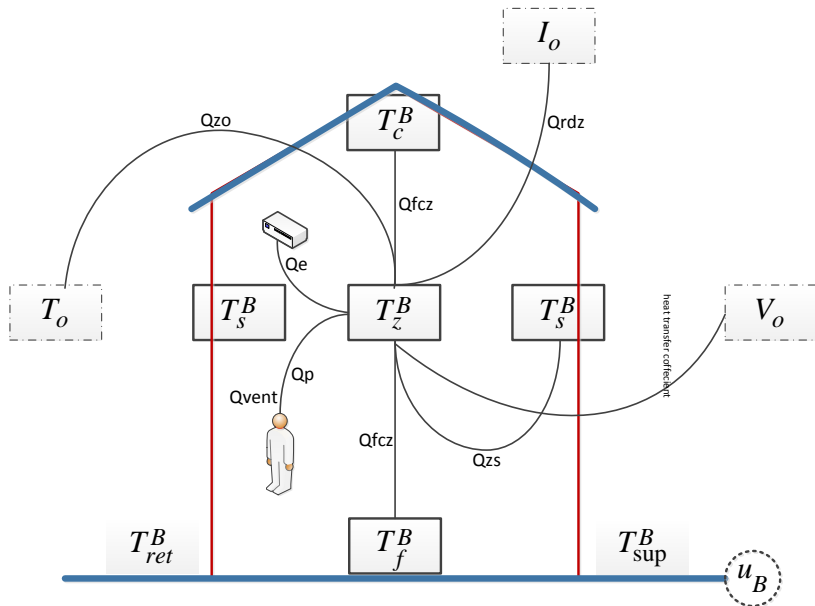
# Single Agent System



# Building Thermal Comfort Relations



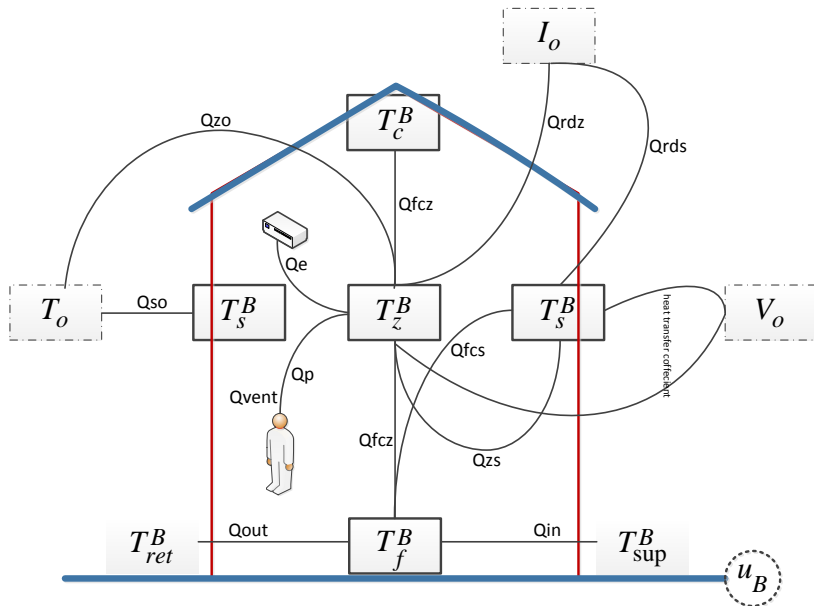
# Building Thermal Comfort Relations







# Building Thermal Comfort Relations



# Building Thermal Comfort Model Formulation

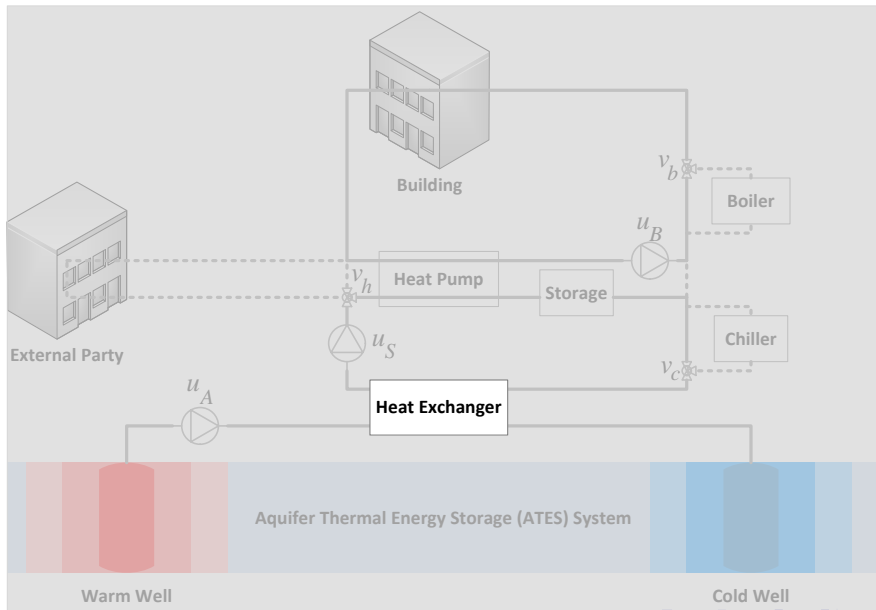
We define the following model:

## Building Dynamical Model

$$\begin{aligned} \mathbf{x}_{B,k+1} &= \mathbf{x}_{B,k} + \mathbf{f}_B(\mathbf{x}_{B,k}, \mathbf{u}_{B,k}, \nu_{B,k}, \nu_{Bext,k})\tau \\ \mathbf{y}_{B,k} &= \mathbf{g}_B(\mathbf{x}_{B,k}, \mathbf{u}_{B,k}) \end{aligned}$$

- Building inside variables (states):  $\mathbf{x}_{B,k} \in \mathbb{R}^3$
- Building outside variables (uncertain):  $\nu_{Bext,k} \in \mathbb{R}^3$
- Pump flow rate variable (control):  $\mathbf{u}_{B,k}$
- Supplied water temperature:  $\nu_{B,k}$
- Returned water temperature:  $\mathbf{y}_{B,k}$
- Sampling period:  $\tau$

# Single Agent System

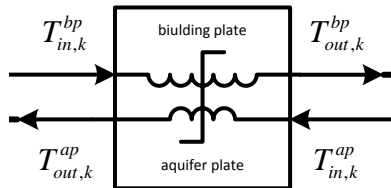


# Heat Exchanger Model

A countercurrent heat exchanger is used and it presents via a static model.

## Static Model Variables:

- Input water temperatures:  
 $\nu_{\text{he},k} \in \mathbb{R}^2$
- Pump flow rates  
(control variables):  $u_{\text{A},k}, u_{\text{S},k}$
- Output water temperatures:  
 $y_{\text{he},k} \in \mathbb{R}^2$

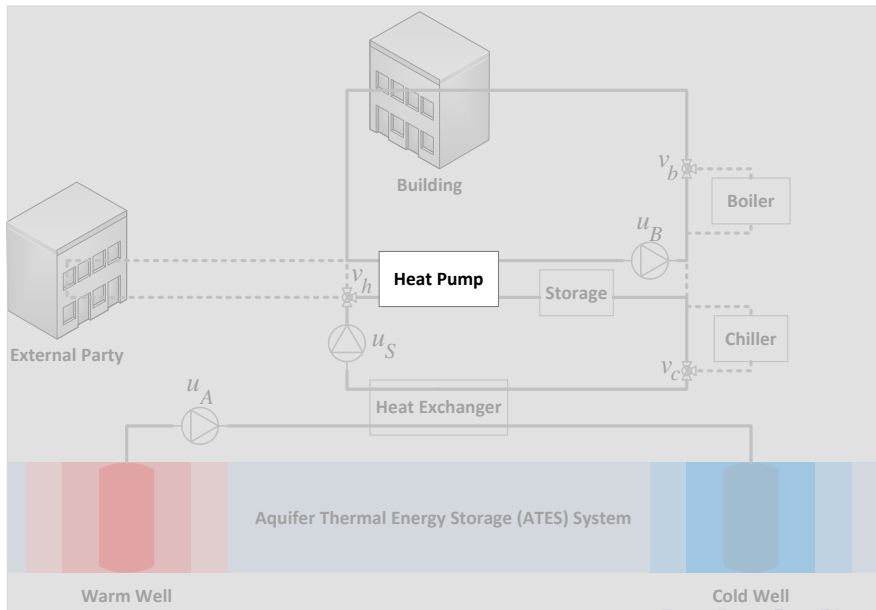


Heat Exchanger

## Heat Exchanger Static Model

$$y_{\text{he},k} = H(\nu_{\text{he},k}, u_{\text{A},k}, u_{\text{S},k})$$

# Single Agent System

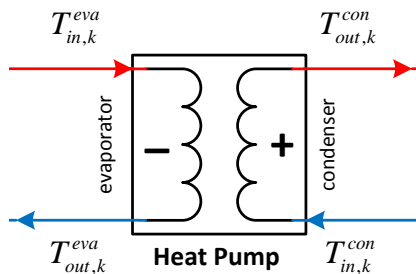


# Heat Pump Model

An electrical water to water heat pump is used with static model.

## Static Model Variables:

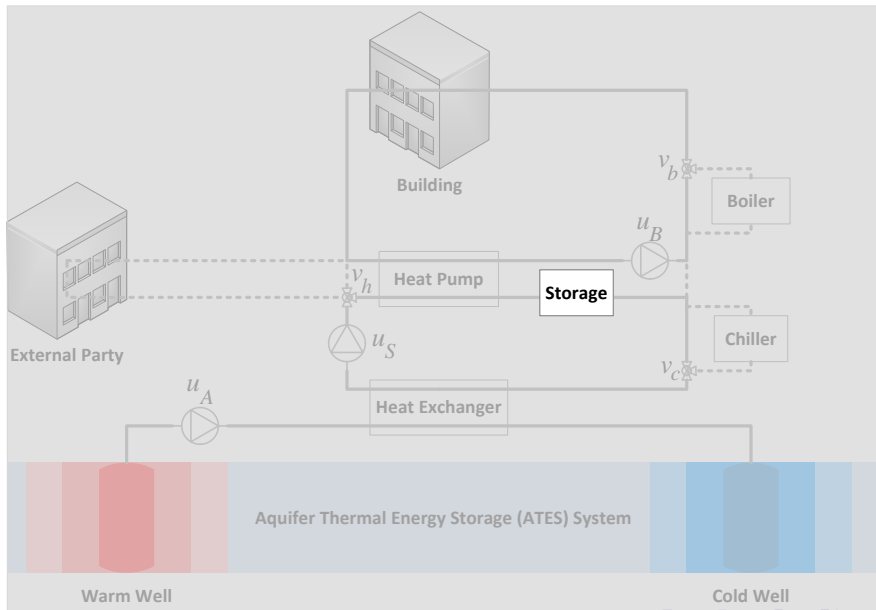
- Input water temperatures:  
 $\nu_{hp,k} \in \mathbb{R}^2$
- Pump flow rates  
(control variables):  $u_{B,k}, u_{S,k}$
- Output water temperatures:  
 $y_{hp,k} \in \mathbb{R}^2$



## Heat Pump Static Model

$$y_{hp,k} = P(\nu_{hp,k}, u_{B,k}, u_{S,k})$$

# Single Agent System





# Storage Tank Model

We define an storage tank model with the following first order difference equations:

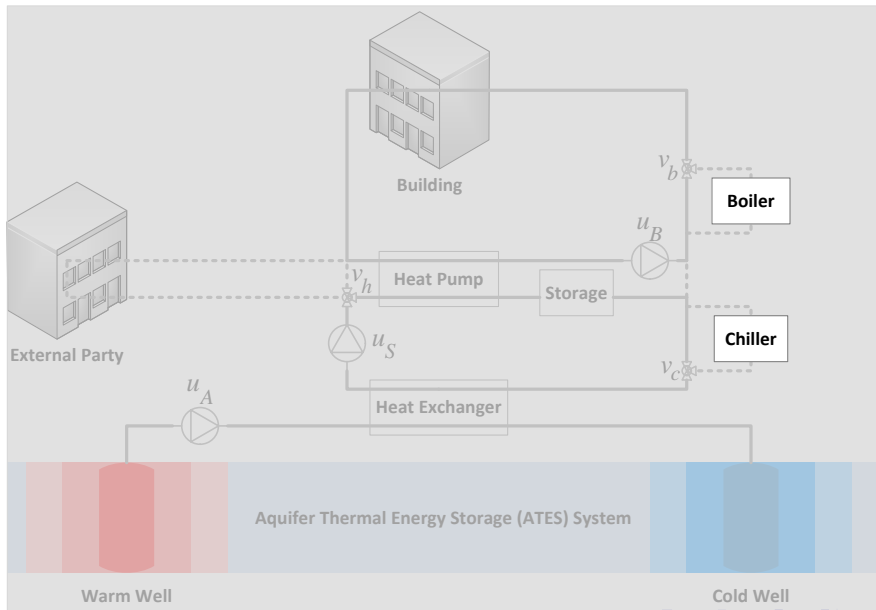
$$V_{s,k+1} = V_{s,k} + V_{in,k} - V_{out,k}$$
$$T_{s,k+1} = \frac{V_{s,k}}{V_{s,k} + V_{in,k}} T_{s,k} + \frac{V_{in,k}}{V_{s,k} + V_{in,k}} T_{in,k}$$

## Storage Dynamical Model

$$\mathbf{x}_{S,k+1} = \mathbf{f}_S(\mathbf{x}_{S,k}, \mathbf{u}_{S,k}, \nu_{S,k})$$
$$\mathbf{y}_{S,k} = \mathbf{g}_S(\mathbf{x}_{S,k})$$

- Tank temperature and volume variables (state):  $\mathbf{x}_{S,k} \in \mathbb{R}^2$
- Pump flow rate variable (control):  $\mathbf{u}_{S,k}$
- Input water temperature:  $\nu_{S,k}$
- Output water temperature:  $\mathbf{y}_{S,k}$

# Single Agent System



# Boiler and Chiller Model

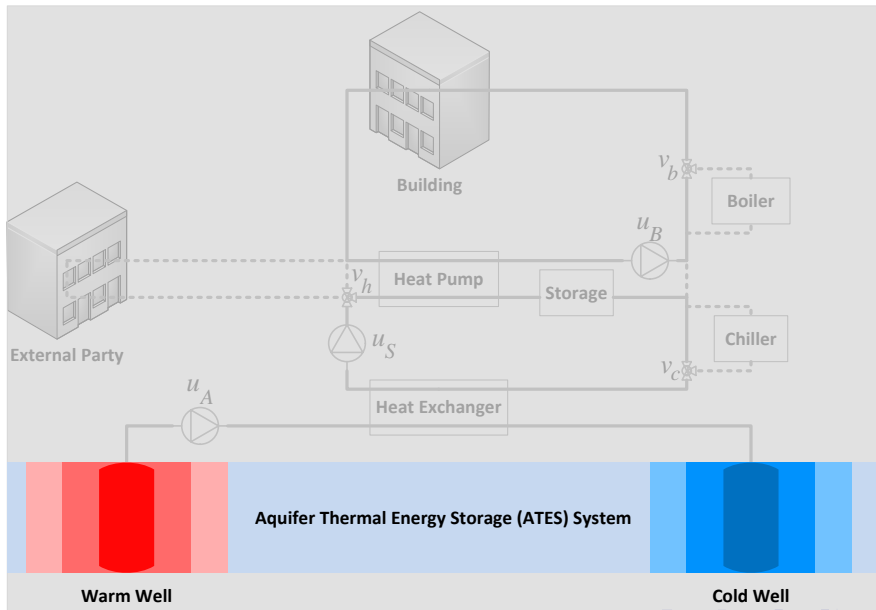
We define the boiler and chiller water temperatures with the following relations:

$$\text{Boiler: } \begin{cases} T_{out,k}^{\text{boi}} = \mathbf{90^{\circ}C} \\ T_{in,k}^{\text{boi}} = T_{\text{bypass},k} \\ \mathbf{u_{b,k} = v_{b,k} u_{B,k}} \end{cases}$$

$$\text{Chiller: } \begin{cases} T_{out,k}^{\text{chi}} = \mathbf{5^{\circ}C} \\ T_{in,k}^{\text{chi}} = T_{\text{bypass},k} \\ \mathbf{u_{c,k} = v_{c,k} u_{S,k}} \end{cases}$$

- Boiler valve position (control):  $\mathbf{v_{b,k} \in [0, 1]}$
- Chiller valve position (control):  $\mathbf{v_{c,k} \in [0, 1]}$

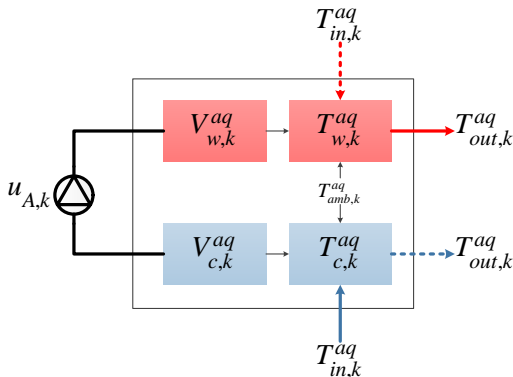
# Single Agent System



# Aquifer Thermal Energy Storage System Principle

Similar modeling as the storage model by introducing different modes:

- Water is taken from one of the wells and is injected into the counterpart well.
- Taken water has constant temperature until the aquifer water temperature dominates.
- Injected water has gained thermal energy and it is stored for the next upcoming season.



# Aquifer Thermal Energy Storage System Model

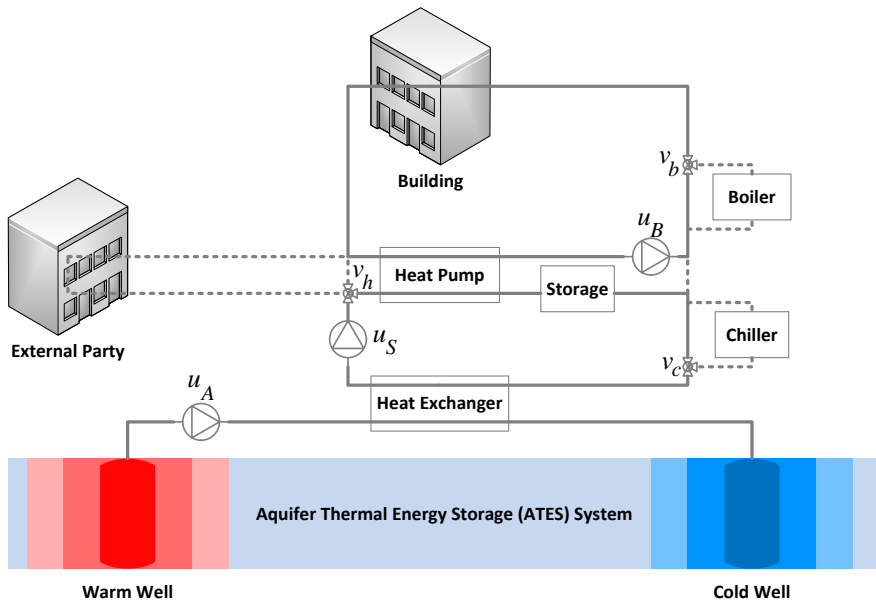
We define the following Model:

## ATES system Dynamical Model

$$\begin{aligned}\mathbf{x}_{A,k+1} &= \mathbf{f}_A(\mathbf{x}_{A,k}, \mathbf{u}_{A,k}, \nu_{A,k}, s_{w,k}, s_{c,k}) \\ y_{A,k} &= \mathbf{g}_A(\mathbf{x}_{A,k}, s_{w,k}, s_{c,k})\end{aligned}$$

- Wells temperature and volume variables (state):  $\mathbf{x}_{A,k} \in \mathbb{R}^4$
- Pump flow rate variable (control):  $\mathbf{u}_{A,k}$
- Output water temperature:  $y_{A,k}$
- Input water temperature:  $\nu_{A,k}$

# Interconnections Between Each Subsystem



# Interconnections Between Each Subsystem

① **ATES system:**  $\nu_{A,k} := T_{in,k}^{aq}, \quad y_{A,k} := T_{out,k}^{aq}$

- $T_{in,k}^{aq} = T_{out,k}^{ap}$

② **Heat exchanger:**  $\nu_{he,k} := [T_{in,k}^{ap}, T_{in,k}^{bp}], \quad y_{he,k} := [T_{out,k}^{ap}, T_{out,k}^{bp}]$

- $T_{in,k}^{ap} = T_{out,k}^{aq}$  and  $T_{in,k}^{bp} = (1 - \nu_{c,k})T_{s,k} + \nu_{c,k}T_{out,k}^{chi}$

③ **Heat pump:**  $\nu_{hp,k} := [T_{in,k}^{con}, T_{in,k}^{eva}], \quad y_{hp,k} := [T_{out,k}^{con}, T_{out,k}^{eva}]$

- $T_{in,k}^{con} = s_{n,k}(s_{w,k}T_{out,k}^{bp} + s_{c,k}T_{ret,k}^B) + (1 - s_{n,k})(s_{w,k}T_{out,k}^{ext} + s_{c,k}T_{ret,k}^B)$

- $T_{in,k}^{eva} = s_{n,k}(s_{c,k}T_{out,k}^{bp} + s_{w,k}T_{ret,k}^B) + (1 - s_{n,k})(s_{w,k}T_{out,k}^{ext} + s_{c,k}T_{ret,k}^B)$

④ **Storage model:**  $\nu_{S,k} := T_{in,k}, \quad y_{S,k} := T_{out,k}^s$

- $T_{in,k}^s = \nu_{h,k}(s_{w,k}T_{out,k}^{con} + s_{c,k}T_{out,k}^{eva}) + (1 - \nu_{h,k})T_{ret,k}^B$

⑤ **Building model:**  $\nu_{B,k} := T_{sup,k}^B, \quad y_{B,k} := T_{ret,k}^B$

$$T_{sup,k}^B = \nu_{h,k}(s_{w,k}T_{out,k}^{eva} + s_{c,k}((1 - \nu_{b,k})T_{out,k}^{con} + \nu_{b,k}T_{out,k}^{boi})) + (1 - \nu_{h,k})T_{out,k}^{bp}$$



# Single Agent Representation

Consider compact formulation of dynamical agent system:

## Single Agent Model

$$\mathbf{x}_{k+1} = \mathbf{f}(\mathbf{x}_k, \mathbf{u}_k, \mathbf{v}_k, \mathbf{s}_k, \mathbf{w}_k)$$

- State variables:  $\mathbf{x}_k := [\mathbf{x}_{B,k}, \mathbf{x}_{S,k}, \mathbf{x}_{A,k}] \in \mathbb{R}^9$
- Pump flow rate variables:  $\mathbf{u}_k := [\mathbf{u}_{B,k}, \mathbf{u}_{S,k}, \mathbf{u}_{A,k}] \in \mathbb{R}^3$
- Valve position variables:  $\mathbf{v}_k := [\mathbf{v}_{b,k}, \mathbf{v}_{c,k}, \mathbf{v}_{h,k}] \in [0, 1]^3$
- Operating mode variables:  $\mathbf{s}_k := [\mathbf{s}_{w,k}, \mathbf{s}_{c,k}, \mathbf{s}_{n,k}] \in \{0, 1\}^3$
- Uncertain variables:  $\mathbf{w}_k := [\mathbf{T}_{o,k}, \mathbf{l}_{o,k}, \mathbf{V}_{o,k}] \subseteq \Delta \in \mathbb{R}^3$
- State variables are available at each sampling time  $k$ .

# Outline

- ① Single Agent Model
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- ③ Simulation Study and Estimation
- ④ Ongoing Work and Results

# Control Problem Formulation

We formulate an optimization problem as follows:

$$\begin{array}{ll} \min & \text{Objective Function: Reference Tracking} \\ \{u_k, v_k\}_{k=1}^N & \\ \text{subject to:} & \begin{array}{l} \text{Nonlinear System Dynamics} \\ \text{State and Control Bounds} \\ \text{Valves, Modes and Uncertainty Sets} \\ \text{Heat Exchanger Capacity Constraints} \\ \text{Heat Pump Capacity Constraints} \end{array} \end{array}$$

Proposed Formulation

Stochastic Mixed-Integer Nonlinear Optimization Problem

# Control Problem Formulation

We formulate an optimization problem as follows:

$$\begin{aligned} & \min_{\{u_k, v_k\}_{k=1}^N} \quad \mathbb{E} \left[ \sum_{k=1}^N \gamma (T_{z,k}^B - T_{\text{set}})^2 \right] \\ & \text{subject to:} \quad \begin{aligned} & \text{Nonlinear System Dynamics} \\ & \text{State and Control Bounds} \\ & \text{Valves, Modes and Uncertainty Sets} \\ & \text{Heat Exchanger Capacity Constraints} \\ & \text{Heat Pump Capacity Constraints} \end{aligned} \end{aligned}$$

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State and Control Bounds

Valves, Modes and Uncertainty Sets

Heat Exchanger Capacity Constraints

Heat Pump Capacity Constraints

Proposed Formulation

Stochastic Mixed-Integer Nonlinear Optimization Problem

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Stochastic Mixed-Integer Nonlinear Optimization Problem

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Heat Exchanger Capacity Constraints

Heat Pump Capacity Constraints

Proposed Formulation

Stochastic Mixed-Integer Nonlinear Optimization Problem

# Control Problem Formulation

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Heat Pump Capacity Constraints

Proposed Formulation

Stochastic Mixed-Integer Nonlinear Optimization Problem



# Control Problem Formulation

We formulate an optimization problem as follows:

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## Proposed Formulation

### Stochastic Mixed-Integer Nonlinear Optimization Problem

# Control Problem Formulation

We formulate an optimization problem as follows:

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## Proposed Formulation

### Stochastic Mixed-Integer Nonlinear Optimization Problem

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- ② Control Problem Formulation
- ③ Simulation Study and Estimation
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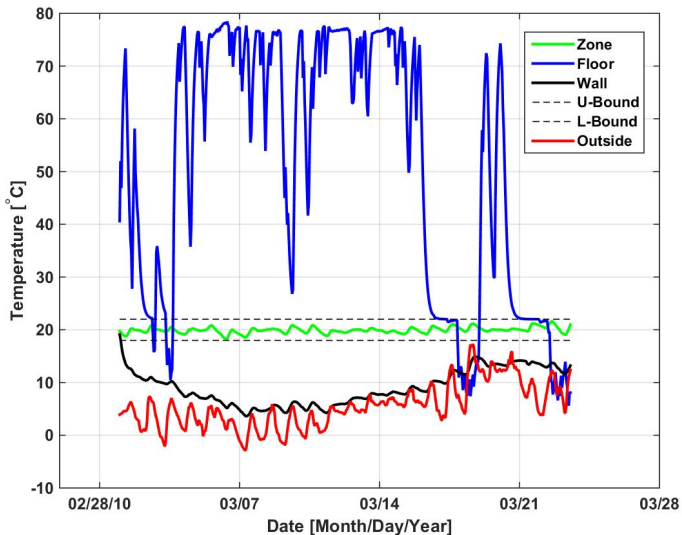
# Simulation Study

A single agent model control problem formulation:

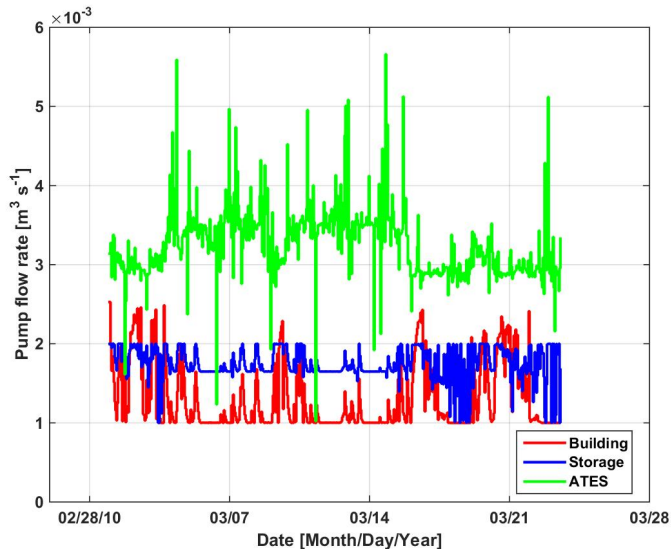
- Sampling period: **1h**
- Prediction horizon: **24h**
- No integer variables (fixed)
- No stochastic terms (deterministic controller)
- Linear approximation of HP & HE complex subsystems
- Remove complex constraints of HP & HE:

$$\nu_{\text{he}}^{\min} \leq \nu_{\text{he},k} \leq \nu_{\text{he}}^{\max}, \quad y_{\text{he}}^{\min} \leq y_{\text{he},k} \leq y_{\text{he}}^{\max}$$
$$\nu_{\text{hp}}^{\min} \leq \nu_{\text{hp},k} \leq \nu_{\text{hp}}^{\max}, \quad y_{\text{hp}}^{\min} \leq y_{\text{hp},k} \leq y_{\text{hp}}^{\max}$$

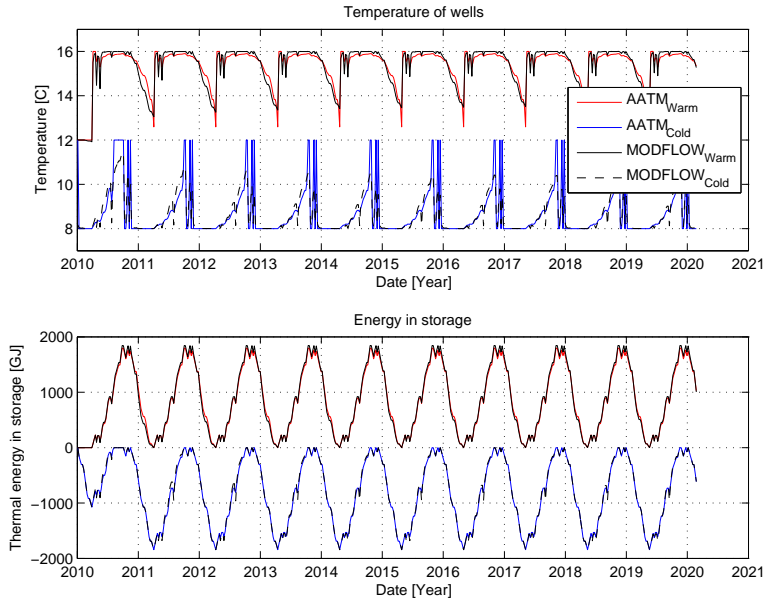
# Simulation Results



# Simulation Results



# Parameter Estimation (loss-term)



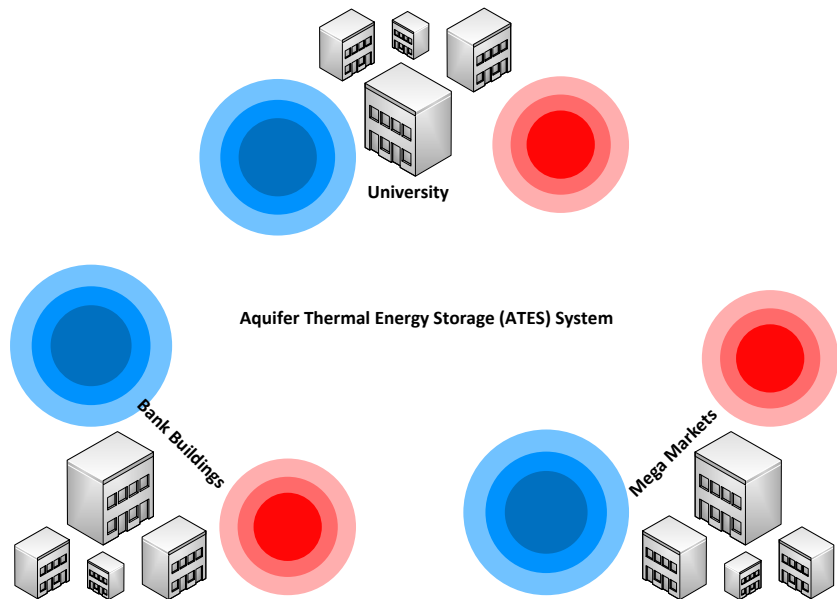
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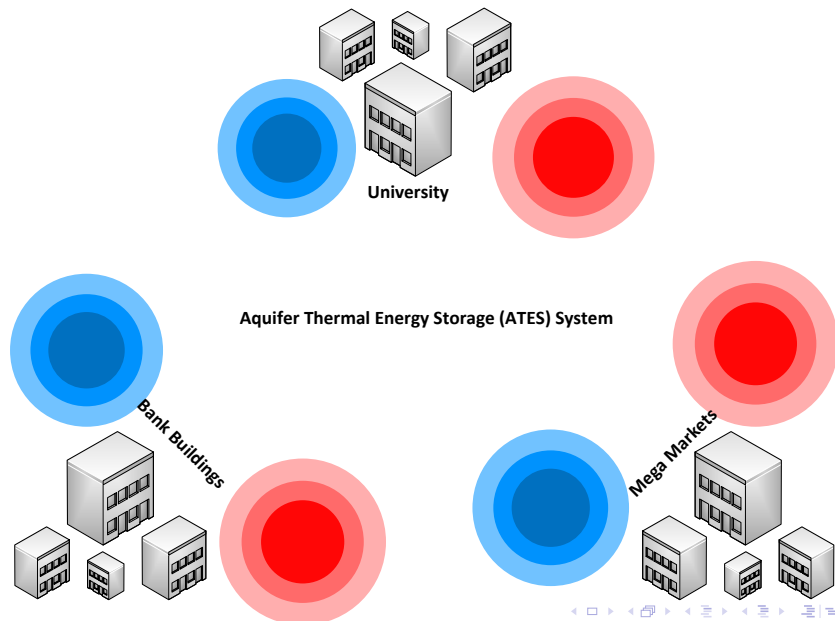


# Large Scale Complex Systems: ATES Smart Grids

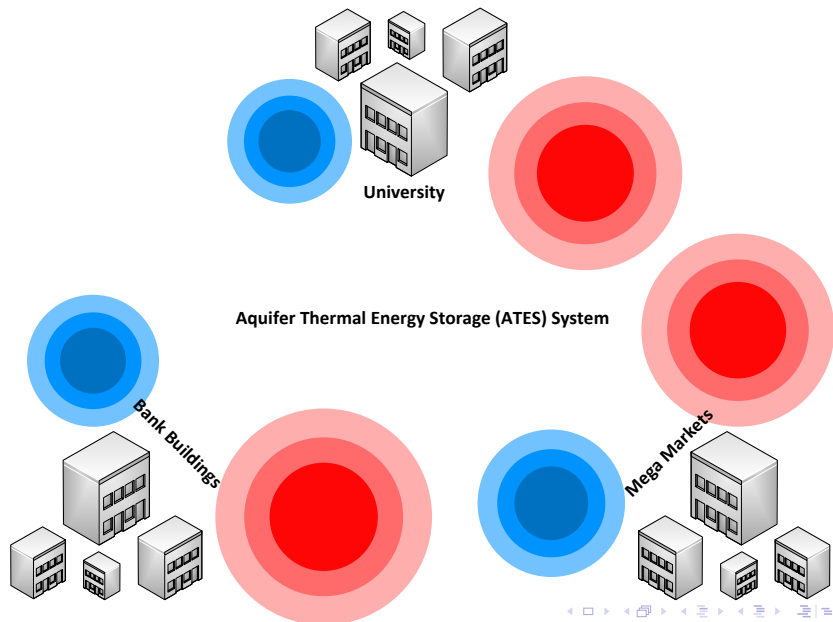
# Ongoing Developments: Interactions Model



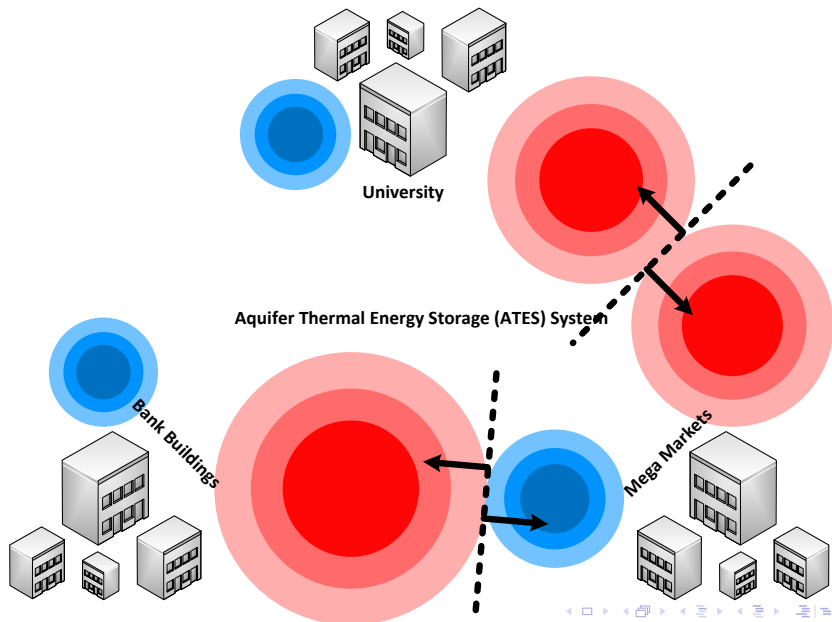
# Ongoing Developments: Optimal Operation



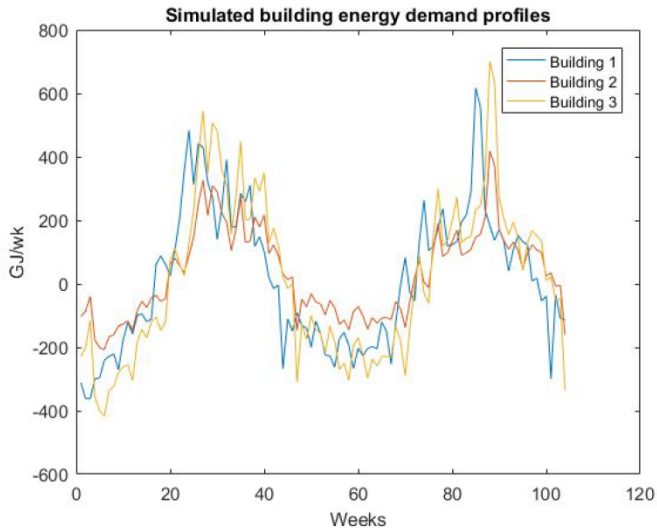
# Ongoing Developments: Effective Operation



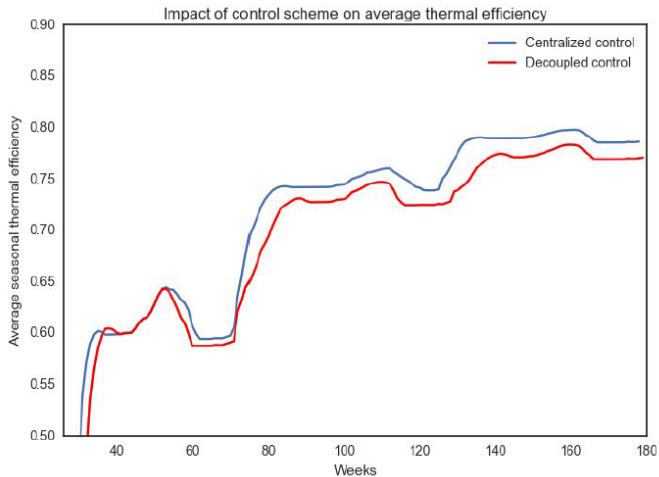
# Ongoing Developments: Cooperative Approach



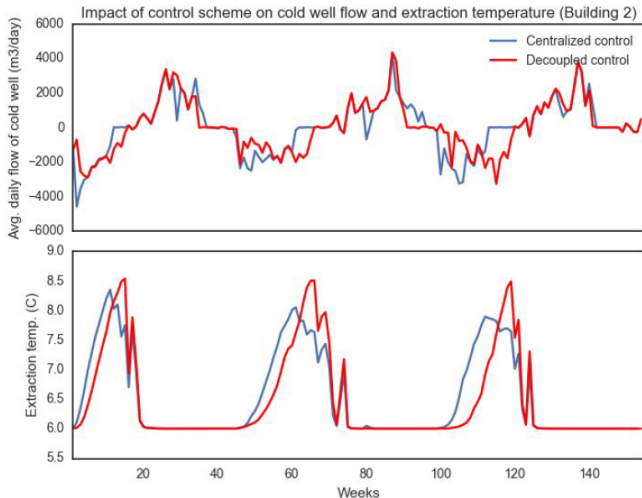
# Results: Centralized vs. Decoupled



# Results: Centralized vs. Decoupled



# Results: Centralized vs. Decoupled





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# Building Thermal Comfort Energy Demand

Main goal is to keep **building zone temperature at the desired level**.

- Building energy demand level is:  $E_d = E_{\text{gain}} - E_{\text{loss}}$
- Endogenous source of losses:  $E_{\text{loss}} = Q_{zo} + Q_{so} + Q_{\text{vent}}$
- Convection heat transfer from zone and solid to outside air:  $Q_{zo}, Q_{so}$
- Ventilation thermal energy lost:  $Q_{\text{vent}}$
- Endogenous source of energy:  $E_{\text{gain}} = Q_{\text{radz}} + Q_{\text{rads}} + Q_p + Q_e$
- Radiation absorption by building zone and solid:  $Q_{\text{radz}}, Q_{\text{rads}}$
- Occupancy and heat gain due to the electrical devices:  $Q_p, Q_e$

# Heat Exchanger Model

Having the following relations:

- Aquifer plate thermal energy:  $Q_{he,k} = \rho_w c_{p,w} u_{A,k} (T_{out,k}^{ap} - T_{in,k}^{ap})$
- Building plate thermal energy:  $Q_{he,k} = \rho_w c_{p,w} u_{S,k} (T_{in,k}^{bp} - T_{out,k}^{bp})$
- Using the internal thermal energy conditions:  $Q_{he,k} = k_{he} A_{he} \Delta T_m^{he}$
- $\Delta T_m^{he}$  is the mean temperature difference for the heat transfer.

## Heat Exchanger Static Model

$$\Pi_{he} := \begin{cases} y_{he,k} = H(\nu_{he,k}, u_{A,k}, u_{S,k}) \\ \forall k \in \{0, 1, 2, \dots\} \end{cases}$$

# Heat Pump Model

Having the following relations:

- The thermal energy of condenser  $Q_{h,k}$  and evaporator  $Q_{c,k}$  sides:

$$Q_{h,k} = \rho_w c_{p,w} u_{B,k} (T_{out,k}^{con} - T_{in,k}^{con})$$

$$Q_{c,k} = \rho_w c_{p,w} u_{S,k} (T_{in,k}^{eva} - T_{out,k}^{eva})$$

- Using the internal thermal energies conditions:

$$Q_{h,k} = k_{hp} A_{hp} \Delta T_{m,h}^{hp} \text{ and } Q_{c,k} = k_{hp} A_{hp} \Delta T_{m,c}^{hp}$$

- The coefficient of performance:  $COP = Q_{h,k} (Q_{h,k} - Q_{c,k})^{-1}$

- Using Carnot cycle:  $COP = \eta_{hp} T_{hs} (T_{hs} - T_{cs})^{-1}$

## Heat Pump Static Model

$$\Pi_{hp} := \begin{cases} y_{hp,k} = P(\nu_{hp,k}, u_{B,k}, u_{S,k}) \\ \forall k \in \{0, 1, 2, \dots\} \end{cases}$$

# Aquifer Thermal Energy Storage System Model

Consider the following mixed-integer first-order difference equations:

$$V_{w,k+1}^{\text{aq}} = V_{w,k}^{\text{aq}} + (s_{w,k} - s_{c,k})V_{in,k}^{\text{aq}}$$

$$V_{c,k+1}^{\text{aq}} = V_{c,k}^{\text{aq}} + (s_{c,k} - s_{w,k})V_{in,k}^{\text{aq}}$$

$$T_{w,k+1}^{\text{aq}} = \frac{V_{w,k}^{\text{aq}}}{V_{w,k}^{\text{aq}} + s_{w,k}V_{in,k}^{\text{aq}}}T_{w,k}^{\text{aq}} + \frac{s_{w,k}V_{in,k}^{\text{aq}}}{V_{w,k}^{\text{aq}} + s_{w,k}V_{in,k}^{\text{aq}}}T_{in,k}^{\text{aq}} - \frac{\alpha(T_{w,k}^{\text{aq}} - T_{\text{amb},k}^{\text{aq}})}{V_{w,k}^{\text{aq}} + s_{w,k}V_{in,k}^{\text{aq}}}$$

$$T_{c,k+1}^{\text{aq}} = \frac{V_{c,k}^{\text{aq}}}{V_{c,k}^{\text{aq}} + s_{c,k}V_{in,k}^{\text{aq}}}T_{c,k}^{\text{aq}} + \frac{s_{c,k}V_{in,k}^{\text{aq}}}{V_{c,k}^{\text{aq}} + s_{c,k}V_{in,k}^{\text{aq}}}T_{in,k}^{\text{aq}} - \frac{\alpha(T_{c,k}^{\text{aq}} - T_{\text{amb},k}^{\text{aq}})}{V_{c,k}^{\text{aq}} + s_{c,k}V_{in,k}^{\text{aq}}}$$

- Integer variables of warm and cold season:  $s_{w,k}, s_{c,k} \in \{0, 1\}$
- Output water temperature is:  $T_{\text{out},k}^{\text{aq}} = s_{c,k}T_{w,k}^{\text{aq}} + s_{w,k}T_{c,k}^{\text{aq}}$

# Interconnections Between Each Subsystem

① **ATES system:**  $\nu_{A,k} := T_{in,k}^{aq}, \quad y_{A,k} := T_{out,k}^{aq}$

- $T_{in,k}^{aq} = T_{out,k}^{ap}$

② **Heat exchanger:**  $\nu_{he,k} := [T_{in,k}^{ap}, T_{in,k}^{bp}], \quad y_{he,k} := [T_{out,k}^{ap}, T_{out,k}^{bp}]$

- $T_{in,k}^{ap} = T_{out,k}^{aq}$  and  $T_{in,k}^{bp} = (1 - \nu_{c,k})T_{s,k} + \nu_{c,k}T_{out,k}^{chi}$

③ **Heat pump:**  $\nu_{hp,k} := [T_{in,k}^{con}, T_{in,k}^{eva}], \quad y_{hp,k} := [T_{out,k}^{con}, T_{out,k}^{eva}]$

- $T_{in,k}^{con} = s_{n,k}(s_{w,k}T_{out,k}^{bp} + s_{c,k}T_{ret,k}^B) + (1 - s_{n,k})(s_{w,k}T_{out,k}^{ext} + s_{c,k}T_{ret,k}^B)$

- $T_{in,k}^{eva} = s_{n,k}(s_{c,k}T_{out,k}^{bp} + s_{w,k}T_{ret,k}^B) + (1 - s_{n,k})(s_{w,k}T_{out,k}^{ext} + s_{c,k}T_{ret,k}^B)$

④ **Storage model:**  $\nu_{S,k} := T_{in,k}, \quad y_{S,k} := T_{out,k}^s$

- $T_{in,k}^s = \nu_{h,k}(s_{w,k}T_{out,k}^{con} + s_{c,k}T_{out,k}^{eva}) + (1 - \nu_{h,k})T_{ret,k}^B$

⑤ **Building model:**  $\nu_{B,k} := T_{sup,k}^B, \quad y_{B,k} := T_{ret,k}^B$

$$T_{sup,k}^B = \nu_{h,k}(s_{w,k}T_{out,k}^{eva} + s_{c,k}((1 - \nu_{b,k})T_{out,k}^{con} + \nu_{b,k}T_{out,k}^{boi})) + (1 - \nu_{h,k})T_{out,k}^{bp}$$

# Simulation Results

