Proceedings of IEEE-RAS/RSJ International Conference on Humanoid Robots (Humanoids 2004) November 10–12, 2004, Los Angeles, CA, USA

ACQUISITION OF A BIPED WALKING POLICY USING AN APPROXIMATED POINCARÉ MAP

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We propose a model-based reinforcement learning algorithm for biped walking in which the robot learns to appropriately place the swing leg. This decision is based on a learned model of the Poincaré map of the periodic walking pattern. The model maps from a state at a single support phase and foot placement to a state at the next single support phase. We applied this approach to both a simulated robot model and an actual biped robot. Successful walking patterns are acquired.

Keywords: Biped Walking; Reinforcement Learning; Poincaré map

1. Introduction

We are exploring dynamic balance during gait. To emphasize dynamic balance, our bipeds have point or round feet without ankle joints. For such bipeds with point ground contact, controlling biped walking trajectories with the popular ZMP approach^{22,6,24,12} is difficult or not possible, and thus an alternative method for controller design must be used. In this paper, we propose a learning algorithm to generate appropriate foot placement for biped walking. We are using model-based reinforcement learning, where we learn a model of a Poincaré map and then choose control actions based on a computed value function.

Although several researchers have applied reinforcement learning to biped locomotion,^{13,2} few studies use a physical robot because reinforcement learning methods often require large numbers of trials. The policy gradient method¹⁸ is one reinforcement learning approach that has been successfully applied to learn biped walking using actual robots^{1,20}. However, these methods require hours to learn a

walking controller¹, or require a mechanically stable robot.²⁰

Our goal is rapid learning for a potentially unstable robot. We believe the way to achieve this is by using model-based reinforcement learning. Doya reported that a model-based approach to reinforcement learning is able to accomplish the cart-pole swing up task much faster than without using knowledge of the environment.³ In our previous work, we showed that a model-based approach using an approximated Poincaré map could be applied to learn simulated biped walking in a small number of trials.¹¹ In this study, we show that we can apply the proposed method to an actual biped (Fig. 1). Because static stability using flat feet is also an interesting case, we show that we can apply the learning method to a simulated robot that has flat feet, in addition to the round feet used previously.

In section 2, we introduce our reinforcement learning method for biped walking. In section 3, we show simulation results. In section 4, we present an implementation of the proposed method on the real robot. We show that the robot can acquire a successful walking pattern within 100 trials.



Fig. 1. Five link biped robot. The robot is on a rotating boom so it only has to balance in the plane of motion

	trunk	thigh	shin
$\max[kg]$	2.0	0.64	0.15
length [m]	0.01	0.2	0.2
inertia $(\times 10^{-4} \ [kg \cdot m^2])$	1.0	6.9	1.4

Table 1. Physical parameters of the five link robot model

2. Model-based reinforcement learning for biped locomotion

We use a model-based reinforcement learning framework^{3,17}. We learn a Poincaré map of the effect of foot placement, and then learn a corresponding value function for states at phases $\phi = \frac{1}{2}\pi$ and $\phi = \frac{3}{2}\pi$ (Fig. 2), where we define phase $\phi = 0$ as the left foot touchdown.

The input state is defined as $\mathbf{x} = (d, \dot{d})$, where d denotes the horizontal distance between the stance foot position and the body position (Fig. 3). We use the hip position as the body position because the center of mass is almost at the same position as the hip position (Fig. 1). The action of the robot $\mathbf{u} = \theta_{act}$ adjusts the knee angle of the swing leg for touchdown. In the simulation θ_{act} is the target knee joint angle of the swing leg which determines foot placement (Fig. 3). In the actual robot implementation θ_{act} adjusts the knee trajectory in a more general way.



Fig. 2. Biped walking trajectory using four via-points: we update parameters and select actions at Poincaré sections at phase $\phi = \frac{\pi}{2}$ and $\phi = \frac{3\pi}{2}$. L:left leg, R:right leg



Fig. 3. (Left) Input state, (Right) Output of the controller

2.1. Function approximator

We use Receptive Field Weighted Regression(RFWR)¹⁶ as the function approximator for the policy, the value function and the estimated Poincaré map. We approximate a target function $g(\mathbf{x})$ with

$$\hat{g}(\mathbf{x}) = \frac{\sum_{k=1}^{N_b} a_k(\mathbf{x}) h_k(\mathbf{x})}{\sum_{k=1}^{N_b} a_k(\mathbf{x})},\tag{1}$$

$$h_k(\mathbf{x}) = \mathbf{w}_k^T \tilde{\mathbf{x}}_k,\tag{2}$$

$$a_k(\mathbf{x}) = \exp\left(-\frac{1}{2}(\mathbf{x} - \mathbf{c}_k)^T \mathbf{D}_k(\mathbf{x} - \mathbf{c}_k)\right),\tag{3}$$

where \mathbf{c}_k is the center of the k-th basis function, \mathbf{D}_k is the distance metric of the k-th basis function, N_b is the number of basis functions, and $\tilde{\mathbf{x}}_k = ((\mathbf{x} - \mathbf{c}_k)^T, 1)^T$ is the augmented state. The update rule for the parameter \mathbf{w} is given by:

$$\Delta \mathbf{w}_k = a_k \mathbf{P}_k \tilde{\mathbf{x}}_k (g(\mathbf{x}) - h_k(\mathbf{x})), \tag{4}$$

where

$$\mathbf{P}_{k} \leftarrow \frac{1}{\lambda} \left(\mathbf{P}_{k} - \frac{\mathbf{P}_{k} \tilde{\mathbf{x}}_{k} \tilde{\mathbf{x}}_{k}^{T} \mathbf{P}_{k}}{\frac{a_{k}}{\lambda} + \tilde{\mathbf{x}}_{k}^{T} \mathbf{P}_{k} \tilde{\mathbf{x}}_{k}} \right),$$
(5)

and $\lambda = 0.999$ is the forgetting factor.

In this study, we allocate a new basis function if the activation of all existing units is smaller than a threshold a_{min} , i.e.,

$$\max_{k} a_k(\mathbf{x}) < a_{\min},\tag{6}$$

where $a_{\min} = \exp(-\frac{1}{2})$. We initially align basis functions $a_k(\mathbf{x})$ at even intervals in each dimension of input space $\mathbf{x} = (d, \dot{d})$ (Fig. 3) $[-0.2(m) \le d \le 0.2(m)$ and $-1.0(m/s) \le \dot{d} \le 1.0(m/s)]$. Initial numbers of basis functions are $400(=20 \times 20)$ for approximating the policy and the value function. We put 1 basis function at the origin for approximating the Poincaré map. We set the distance metric \mathbf{D}_k to $\mathbf{D}_k = diag\{2500, 90\}$ for the policy and the value function, and $\mathbf{D}_k = diag\{2500, 225, 1600, 1600\}$ for the Poincaré map. The centers of the basis functions \mathbf{c}_k and the distance metrics of the basis functions \mathbf{D}_k are fixed during learning.

2.2. Learning the Poincaré map of biped walking

We learn a model that predicts the state of the biped a half cycle ahead, based on the current state and the foot placement at touchdown. We are predicting the location of the system in a Poincaré section at phase $\phi = \frac{3\pi}{2}$ based on the system's location in a Poincaré section at phase $\phi = \frac{\pi}{2}$ (Fig. 2). We use a different model to predict the location at phase $\phi = \frac{\pi}{2}$ based on the location at phase $\phi = \frac{3\pi}{2}$ because the real robot has asymmetries mainly caused by the planarizing boom.

Because the state of the robot drastically changes at foot touchdown ($\phi = 0, \pi$), we select the phases $\phi = \frac{\pi}{2}$ and $\phi = \frac{3\pi}{2}$ as Poincaré sections. We approximate this Poincaré map using a function approximator with a parameter vector \mathbf{w}^m ,

$$\hat{\mathbf{x}}_{\frac{3\pi}{2}} = \hat{\mathbf{f}}_1(\mathbf{x}_{\frac{\pi}{2}}, \mathbf{u}_{\frac{\pi}{2}}; \mathbf{w}_1^m), \tag{7}$$

$$\hat{\mathbf{x}}_{\frac{\pi}{2}} = \hat{\mathbf{f}}_2(\mathbf{x}_{\frac{3\pi}{2}}, \mathbf{u}_{\frac{3\pi}{2}}; \mathbf{w}_2^m), \tag{8}$$

where the input state is defined as $\mathbf{x} = (d, \dot{d})$, and the action of the robot is defined as $\mathbf{u} = \theta_{act}$ (Fig. 3).

2.3. Representation of biped walking trajectories and the low-level controller

One cycle of biped walking is represented by knot points or via-points for each joint. The output of a current policy θ_{act} is used to specify via-points (Table 2 and Fig. 11). We interpolate trajectories between target postures by using the minimum jerk criteria^{5,23} except for pushing off at the stance knee joint. For pushing off at the stance knee, we instantaneously change the desired joint angle to deliver a pushoff to a fixed target to accelerate the motion.

Zero desired velocity and acceleration are specified at each via-point. To follow the generated target trajectories, the torque output at each joint is given by a PD servo controller:

$$\tau_j = k(\theta_j^d(\phi) - \theta_j) - b\dot{\theta}_j,\tag{9}$$

where $\theta_j^d(\phi)$ is the target joint angle for *j*-th joint $(j = 1 \cdots 4)$, position gain *k* is set to k = 2.0 except for the knee joint of the stance leg (we use k = 8.0 for the knee joint of the stance leg), and the velocity gain *b* is set to b = 0.05. Table 2 shows the target postures for the controller used in the simulation.

We reset the phase^{21,14} at foot touchdown according to a phase reset curve⁸ (Fig. 4) as:

$$\phi \leftarrow \phi + \Delta \psi(\phi), \tag{10}$$

where $\Delta \psi$ denotes amount of phase reset. The phase reset curve and ϕ axis ($\Delta \psi = 0$) crosses at $\phi = \Delta \phi$ and $\phi = \pi + \Delta \phi$, where $\Delta \phi = 0.3$ is empirically determined. Because we are using a low-gain servo controller in (9), we need to keep the phase of the controller $\Delta \phi$ ahead of the phase of the robot.

Table 2. Target postures at each phase ϕ for the simulated controller: The target postures given by numbers do not change from cycle to cycle, while those given by θ_{act} are controlled by the learned policy. The units for numbers in this table are degrees

	left hip	left knee	right hip	right knee
$\phi = 0$	-10.0	θ_{act}	10.0	0.0
$\phi=0.5\pi$		$ heta_{act}$		60.0
$\phi = 0.7\pi$	10.0		-10.0	
$\phi=\pi$	10.0	0.0	-10.0	$ heta_{act}$
$\phi = 1.5\pi$		60.0		$ heta_{act}$
$\phi = 1.7\pi$	-10.0		10.0	

2.4. Rewards

The robot gets rewarded if it successfully continues walking and gets punishment (negative reward) if it falls down. On each transition from phase $\phi = \frac{1}{2}\pi$ (or $\phi = \frac{3}{2}\pi$) to phase $\phi = \frac{3}{2}\pi$ (or $\phi = \frac{1}{2}\pi$), the robot gets a reward 0.1 if the height of the body remains above 0.35m during the past half cycle. If the height of the body goes below 0.35m, the robot is given a negative reward (-1) and the trial is terminated.

6 Jun Morimoto



Fig. 4. Phase reset curve. ϕ :Phase at foot touchdown. $\Delta \phi$:Desired phase difference. $\Delta \psi$:Amount of phase reset.

2.5. Learning the value function

In a reinforcement learning framework, the learner tries to create a controller which maximizes expected total return. We define the value function for the policy μ

$$V^{\mu}(\mathbf{x}(t)) = E[r(t+1) + \gamma r(t+2) + \gamma^2 r(t+3) + ...],$$
(11)

where r(t) is the reward at time t, and γ ($0 \le \gamma \le 1$) is the discount factor^a. In this framework, we evaluate the value function only at $\phi(t) = \frac{\pi}{2}$ and $\phi(t) = \frac{3}{2}\pi$. Thus, we consider our learning framework as model-based reinforcement learning for a semi-Markov decision process (SMDP)¹⁹. We use a function approximator with a parameter vector \mathbf{w}^v to represent the value function:

$$\hat{V}(t) = \hat{V}(\mathbf{x}(t); \mathbf{w}^v).$$
(12)

By considering the deviation from equation (11), we can define the temporal difference error $(TD-error)^{17,19}$:

$$\delta(t) = \sum_{k=t+1}^{t_T} \gamma^{k-t-1} r(k) + \gamma^{t_T - t} \hat{V}(t_T) - \hat{V}(t), \qquad (13)$$

where t_T is the time when $\phi(t_T) = \frac{1}{2}\pi$ or $\phi(t_T) = \frac{3}{2}\pi$. The update rule for the value function can be derived as

$$\hat{V}(\mathbf{x}(t)) \leftarrow \hat{V}(\mathbf{x}(t)) + \beta \delta(t),$$
(14)

where $\beta = 0.2$ is a learning rate. The parameter vector \mathbf{w}^{v} is updated by equation (4).

2.6. Learning a policy for biped locomotion

We use a stochastic policy to generate exploratory action. The policy is represented by a probabilistic model:

$$\mu(\mathbf{u}(t)|\mathbf{x}(t)) = \frac{1}{\sqrt{2\pi\sigma}} \exp\left(-\frac{(\mathbf{u}(t) - \mathbf{A}(\mathbf{x}(t); \mathbf{w}^a))^2}{2\sigma^2}\right),\tag{15}$$

^aWe followed the definition of the value function in^{17}

where $\mathbf{A}(\mathbf{x}(t); \mathbf{w}^a)$ denotes the mean of the model, which is represented by a function approximator, where \mathbf{w}^a is a parameter vector. We changed the variance σ according to the trial as $\sigma = 0.2 \left(\frac{150 - N_{trial}}{150}\right) + 0.01$ for $N_{trial} \le 150$ and $\sigma = 0.01$ for $N_{trial} >$ 150, where N_{trial} denotes the number of trials. The output of the policy is

$$\mathbf{u}(t) = \mathbf{A}(\mathbf{x}(t); \mathbf{w}^a) + \sigma \mathbf{n}(t), \tag{16}$$

where $\mathbf{n}(t) \sim N(0, 1)$. N(0, 1) indicate a normal distribution which has mean of 0 and variance of 1. We derive the update rule for a policy by using the value function and the estimated Poincaré map.

- (1) Predict the next state $\hat{\mathbf{x}}(t_T)$ from the current state $\mathbf{x}(t)$ and the nominal action $\mathbf{u} = \mathbf{A}(\mathbf{x}(t); \mathbf{w}^a)$ using the Poincaré map model $\hat{\mathbf{x}}(t_T) = \hat{\mathbf{f}}(\mathbf{x}(t), \mathbf{u}(t); \mathbf{w}^m)$.
- (2) Derive the gradient of the value function $\frac{\partial V}{\partial \mathbf{x}}$ at the predicted state $\hat{\mathbf{x}}(t_T)$. (3) Derive the gradient of the dynamics model $\frac{\partial \mathbf{f}}{\partial \mathbf{u}}$ at the current state $\mathbf{x}(t)$ and the nominal action $\mathbf{u} = \mathbf{A}(\mathbf{x}(t); \mathbf{w}^a)$.
- (4) Update the policy μ :

$$\mathbf{A}(\mathbf{x};\mathbf{w}^{a}) \leftarrow \mathbf{A}(\mathbf{x};\mathbf{w}^{a}) + \alpha \frac{\partial V(\mathbf{x})}{\partial \mathbf{x}} \frac{\partial \mathbf{f}(\mathbf{x},\mathbf{u})}{\partial \mathbf{u}}, \qquad (17)$$

where $\alpha = 0.2$ is the learning rate. The parameter vector \mathbf{w}^a is updated by equation (4). We can consider the output $\mathbf{u}(t)$ is an option in the SMDP¹⁹ initiated in state $\mathbf{x}(t)$ at time t when $\phi(t) = \frac{1}{2}\pi$ (or $\phi = \frac{3}{2}\pi$), and it terminates at time t_T when $\phi = \frac{3}{2}\pi$ (or $\phi = \frac{1}{2}\pi$).

3. Simulation results

We applied the proposed method to the 5 link simulated robot (Fig. 1). Physical parameters of the 5 link simulated robot in table 1 are selected to model the actual biped robot fixed to a boom that keeps the robot in the sagittal plane (Fig. 1). We use a manually generated initial step to get the pattern started. We set the walking period to $T = 0.79 \ sec \ (\omega = 8.0 \ rad/sec)$. A trial terminated after 30 steps or after the robot fell down. Figure 5(Top) shows the walking pattern before learning.

Figure 7 shows the accumulated reward at each trial. We defined a successful trial when the robot achieved 30 steps. A stable biped walking controller was acquired within 200 trials (Fig. 7). The shape of the value function is shown in Figure 8(Left). The minimum value of the value function is located at negative body position d and negative body velocity d because this state leads the robot to fall backward. The maximum value of the value function is located at negative body position d and positive body velocity d which leads to a successful walk. The shape of the policy is shown in Figure 8(Right). If the body velocity d is not sufficient, i.e., $d = 0.0 \sim 0.3$, the policy changes the output θ_{act} to place the foot closer to the center of mass to increase the walking speed. The number of allocated basis functions are 402 for approximating the value function, 400 for approximating the policy, 104 for the Poincaré map $\hat{\mathbf{f}}_1$ in equation (7), and 106 for the Poincaré map $\hat{\mathbf{f}}_2$ in equation (8).

Figure 9 shows joint angle trajectories of stable biped walking after learning. Note that the robot added energy to its initially slow walk by choosing θ_{act} appropriately which affects both foot placement and the subsequent pushoff. The acquired walking pattern is shown in Figure 5(Bottom). Figure 10 shows the time course of the phase modulated by the phase reset at foot touchdown. The amount of the phase reset was different at each foot touchdown.

Because static stability using flat feet is useful to maintain balance for humanoid robots, we also applied the proposed method to a simulated robot that has flat feet. Figure 6(Top) shows the walking pattern before learning. We used a different initial step for the flat footed model. The other simulation settings were the same as the round footed model. Figure 6(Bottom) shows the walking pattern generated by an acquired policy. Both figure 5(Bottom) and figure 6(Bottom) show walking patterns for 6 seconds. In this study the round footed robot walked faster.



Fig. 5. Acquired biped walking pattern with round feet: (Top)Before learning, (Bottom)After learning



Fig. 6. Acquired biped walking pattern with flat feet: (Top)Before learning, (Bottom)After learning



Fig. 7. Accumulated reward at each trial: Results of 10 experiments. We filtered the data with moving average of 20 trials.



Fig. 8. Shapes of acquired value function and policy: (Left)Value function, (Right)Policy

4. Real robot implementation

We applied the proposed model-based reinforcement learning scheme to our biped robot (Fig. 1). We use a walking pattern generated by a pre-designed state machine controller¹⁵ as the nominal walking pattern. We detect via-points in this nominal walking pattern and manually select via-points which correspond to foot placement (Fig. 11). In this framework, control output θ_{act} modulates the selected via-points θ_i^v :

$$\theta_i^v = \bar{\theta}_i^v + \theta_{act} \quad (i = 1, \cdots, n^v), \tag{18}$$

where n^v denotes the number of selected via-points, and $\bar{\theta}_i^v$ denotes the nominal value of the selected via-points. Each selected via-point is equally modulated by the control output θ_{act} .



Fig. 9. Joint angle trajectories after learning



Fig. 10. Time course of the phase modulated by the phase reset at foot touchdown. Arrows represent the timing of the right foot touchdown.



Fig. 11. Trajectories used for actual robot control: Nominal joint-angle trajectories and detected via-points represented by cross (×). Manually selected via-points represented by circle (\circ) are modulated by control output θ_{act} .

We changed the variance σ in equation (15) according to the trial as $\sigma = 0.1 \left(\frac{50 - N_{trial}}{50}\right) + 0.01$ for $N_{trial} \leq 50$ and $\sigma = 0.01$ for $N_{trial} > 50$, where N_{trial} denotes the number of trials. We set the walking period to T = 0.84 sec



Fig. 12. Biped walking pattern before learning



Fig. 13. Biped walking pattern after learning



Fig. 14. Biped walking pattern on metal surface

 $(\omega = 7.5 \ rad/sec)$. A trial terminated after 30 steps or after the robot fell down. We use the pre-designed state machine for the initial 6 steps. We set the distance metric \mathbf{D}_k in equation (3) to $\mathbf{D}_k = diag\{2500, 90\}$ for the policy and the value function, and $\mathbf{D}_k = diag\{2500, 90, 1600\}$ for the Poincaré map.

Figure 12 shows a biped walking pattern before learning. The robot fell when using only the nominal walking pattern. Figure 13 shows a biped walking pattern after learning. After 100 trials in the real environment, the robot acquired a policy which walks stably. We applied the acquired controller to a different ground surface.

Even on a surface with quite different friction (smooth metal vs. carpet), the robot successfully walked using the learned biped walking policy (Fig. 14).

Figure 15 shows joint angle trajectories of the actual robot. The robot generated a stable periodic pattern after 100 trials. During each step, the robot straightened its leg, which is uncommon in the popular ZMP approach due to the necessity of avoiding singularities. Figure 16 shows the time course of the phase modulated by the phase reset at foot touchdown.

Figure 17 shows the accumulated reward at each trial using the real robot. The robot learned a stable walking pattern within 100 trials.

An acquired value function after 100 trials is shown in Figure 18(Left). The minimum value of the value function is located around zero body position d = 0.0 and negative body velocity \dot{d} , and the maximum value of the value function is located around zero body position d = 0.0 and positive body velocity \dot{d} . The difference between shape of the value function acquired in the simulated environment (Fig. 8) and the real environment (Fig. 18) is possibly caused by the effect of the boom. The shape of the policy is shown in Figure 18(Right). The number of allocated basis functions are 407 for approximating the value function, 401 for approximating the policy, 59 for the Poincaré map $\hat{\mathbf{f}}_1$ in equation (7), and 59 for the Poincaré map $\hat{\mathbf{f}}_2$ in equation (8).

Fig. 15. Joint angle trajectories after learning on real robot

5. Discussion

In this study, we applied the proposed approach to a physical biped robot, and acquired a policy which generates a stable walking pattern. We controlled foot placement using the knee because the lower leg has smaller mass and tracking the target joint angle at the knee is easier than tracking using the hip joint. Using hip joints or using different variables for the output of the policy are interesting topics for future work. We are also considering using captured data of a human walking

Fig. 16. Time course of the phase modulated by the phase reset at foot touchdown on the real robot. Arrows represent the timing of the right foot touchdown.

Fig. 17. Accumulated reward at each trial using real robot. We filtered the data with a moving average of 20 trials.

Fig. 18. Shapes of acquired value function and policy in real environment: (Left)Value function, (Right)Policy

pattern 25 as a nominal trajectory instead of using a hand-designed walking pattern. We will analyze the change during learning of the volume of the region of stable walking in state space. In our previous work, we have proposed a trajectory optimization method for biped locomotion^{9,10} based on differential dynamic programming^{4,7}. We are now considering combining this trajectory optimization method with the proposed reinforcement learning method.

Acknowledgments

We would like to thank Mitsuo Kawato, at ATR Computational Neuroscience Laboratories, Japan, and Seiichi Miyakoshi of the Digital Human Research Center, AIST, Japan for helpful discussions. Atkeson is partially supported by NSF award ECS-0325383.

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